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INVESTORS'

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INVESTORS' RECORD BOOK

Designed and Arranged by

MONTGOMERY ROLLINS

WITH SEPARATE DIVISIONS FOR:

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Published in three forms: Bound in Cloth or Leather; Loose Leaf Binding; 5 x 8 in. Cards

FOR LIST OF MR. ROLLINS' BOOKS SEE NEXT PAGE

Published By MONTGOMERY ROLLINS

BOSTON, U. S. A.

BOOKS By Montgomery Rollins

MONEY AND INVESTMENTS

A reference book on these subjects.

CONVERTIBLE SECURITIES

Including tables showing the prices of the various convertible issues which are equivalent to the market quotations of the securities exchangeable therefor, together with a complete description of each issue, with all data regarding conversion.

MUNICIPAL AND CORPORATION BONDS

Terms, customs and usages. A pocket reference book for the investor and banker.

STOCKS AND THEIR MARKET PLACES

Terms, customs and usages. A pocket reference book for investors and stock brokers.

SERIAL AND INSTALMENT BOND VALUES TABLES

Giving yields and prices on bonds payable either serially or by instalments.

BOND, STOCK AND INTEREST TABLES

Waistcoat Pocket Edition, covering all these indispensable tables.

FIFTH MONTGOMERY CODE

Especially adapted for use in the banking and investment business. Including a complete list of Stock Exchange Securities.

LAWS REGULATING THE INVESTMENT OF BANK FUNDS

By which may be determined investments legally permissible for savings banks, trust companies, etc., in different States.

TABLES OF BOND VALUES—15th Edition

More complete than the 14th. Size, $5\frac{1}{4}$ x $7\frac{3}{4}$ inches.

TABLES OF BOND VALUES—19th Edition

For bonds bearing 3 to 7% interest, together with special tables for $4\frac{1}{4}$, $5\frac{1}{2}$ and 8% rates, besides Stock Values and Interest Tables. Size, $3\frac{1}{8}$ x $6\frac{3}{4}$ inches.

TABLES OF BOND VALUES

Based upon Annual Interest payments.

GOVERNMENT BOND VALUES

Based upon Quarterly Interest payments.

$4\frac{1}{4}$ % TABLES OF BOND VALUES

$5\frac{1}{2}$ % TABLES OF BOND VALUES

ODD RATE BOND VALUES

For computing yields and prices on bonds bearing any rate of interest.

DOUBLE METHOD INTEREST TABLES

For the waistcoat pocket. Both 360 and 365 day methods. Side index.

BOND, STOCK AND MORTGAGE REGISTERS FOR DEALERS AND LARGE INVESTORS

STOCK VALUES TABLES

Comprising a most complete range of yields, rates and prices; the last from 25 to 225, advancing by intervals of $\frac{1}{8}$ %, while dividend rates are covered from 2 to 12%.

BOND DESCRIPTIONS

A loose leaf waistcoat pocket record book in which to enter description of securities,

CARE OF SECURITIES

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“Every reasonable precaution should be taken against the loss of a security, either by theft, fire, or otherwise, and no better precaution can be taken than by the rental of a box in some Safe Deposit Company. There is no recorded instance of an effort to break into a modern safe deposit vault. The physical obstacles offered by the construction are too great. There are a great many who think they cannot afford this; but, in the long run, it may prove a very cheap method of insurance. The keeping of one's securities in the ordinary safe in the house, store or office is practically no protection against the present day scientific burglar, nor, frequently, against fire.

“In any event, make a complete list of your securities and keep the same in a different place from that in which the securities themselves are kept—in an entirely different building—so that if the securities are destroyed by fire, the same fire will not be likely to destroy the list. This list should be a fairly complete description so that, in case of loss, the securities can be so completely described as to fully identify them. It should give, in each case, the

name, the number it bears, if any, the date of its issue, the face value, the rate of interest, when due; and it will be valuable to take additional description, such as when interest is payable, of whom bought, etc. All this information is desirable, as it may also save a trip to one's safe deposit vaults in order to obtain information regarding some particular security, which may be wanted for other reasons than in case of identification on account of loss. For instance: certain bonds may be called for payment, and it is often necessary to know if one holds any of the numbers called.”—From *Money and Investments*.

In case of death, such records, as the foregoing, may be of great assistance to one's executors and administrators: the usual loosely kept data is very unsatisfactory, at such times, and often misleading.

In event of the loss or destruction of a security, insurance policy or other valuable paper, immediately notify the issuer, and also the banker or agent from whom it was purchased, giving, in each case, a complete description, for which the records in this book will be invaluable.

Commence 11/14/56, 11/15/56, 11/25/56

SUGGESTIONS FOR USE OF THIS BOOK

The printed headings of most of the columns are self explanatory, but, here and there, some advice may be needed.

When a security has been "Converted," as provided for under the division "Convertible Securities" care should be taken to strike out the original investment as entered before conversion, so as not to have the entry appear in two places.

Under the division "Stocks," if ownership is represented by more than one certificate, a separate line may be allowed in which to enter each certificate number, but the rest of the data need be filled in only on one line.

The divisions at the end of the book headed, "Interest and Dividend Dates" and "Income by Months, etc." will be very helpful, if properly filled out. The first will give, at a glance, the interest payments due any given month; the other gives the total income due every

month upon each class of security. The totals at the bottom of each column show the full amount of income, from all sources, due each month, whereas, the totals at the right will give the yearly income upon each class of security, and the sum total can be entered in the lower right-hand corner, i. e., the aggregate yearly income from all sources.

Where investments are held by different members of a family, they should be entered upon separate pages, or ownership indicated against the same. It would be even better to have a separate book for each one's investments, and this is particularly desirable when acting as executor or trustee, a separate book being used for each trust, for example.

Tax Exempt Investments should have some such letters as T. E. or Ex. against the same.

BONDS

ISSUED BY	PURPDSE	RATE	DUE	OPTIONAL	AT	INTEREST DATES	INTEREST PAYABLE AT

BONDS

ISSUED BY**PURPOSE****RATE****DUE****OPTIONAL****AT****INTEREST DATES****INTEREST PAYABLE AT**

BONDS

[illegible]

BONDS

ISSUED BY	PURPOSE	RATE	DUE	OPTIONAL	AT	INTEREST DATES	INTEREST PAYABLE AT

BONDS

[illegible]

BONDS

ISSUED BY	PURPDSE	RATE	DUE	OPTIDNAL	AT	INTEREST DATES	INTEREST PAYABLE AT

BONDS

[illegible]

BONDS

ISSUED BY	PURPOSE	RATE	DUE	OPTIONAL	AT	INTEREST DATES	INTEREST PAYABLE AT

BONDS

ISSUED BY	PURPOSE	RATE	DUE	OPTIONAL	AT	INTEREST DATES	INTEREST PAYABLE AT

BONDS

ISSUED BY	PURPOSE	RATE	DUE	OPTIONAL	AT	INTEREST DATES	INTEREST PAYABLE AT

BONDS

ISSUED BY

PURPOSE

RATE

DUE

OPTIONAL

AT

INTEREST DATES

INTEREST PAYABLE AT

BONDS

BONDS

ISSUED BY**PURPOSE****RATE****DUE****OPTIONAL****AT****INTEREST DATES****INTEREST PAYABLE AT**

CONVERTIBLE SECURITIES

This Division Is Supplemental
to other Divisions, such as
Bonds, Notes, etc

NAME OF SECURITY	OTHER DETAILS ENTERED, UNDER PAGE	CONVERTIBLE INTO	CONVERSION PRICE OF SECURITY HELD	PRICE OF SECURITY CONVERTED INTO	WHEN CONVERSION PRIVILEGE BEGINS

CONVERTIBLE SECURITIES

WHEN CONVERSION PRIVILEGE CEASES	WHEN REDEEMABLE	NOTICE REQUIRED	IF CALLED FOR REDEMPTION CONVERSION MAY BE MADE UP TO	OTHER DETAILS

This Division Is Supplemental
to other Divisions, such as
Bonds, Notes, etc.

NAME OF SECURITY	OTHER DETAILS ENTERED, UNDER PAGE	CONVERTIBLE INTO	CONVERSION PRICE OF SECURITY HELD	PRICE OF SECURITY CONVERTED INTO	WHEN CONVERSION PRIVILEGE BEGINS

CONVERTIBLE SECURITIES

WHEN CONVERSION PRIVILEGE CEASES	WHEN REDEEMABLE	NOTICE REQUIRED	IF CALLED FOR REDEMPTION CONVERSION MAY BE MADE UP TO	OTHER DETAILS

CONVERTIBLE SECURITIES

This Division Is Supplemental
to other Divisions, such as
Bonds, Notes, etc

[illegible]

CONVERTIBLE SECURITIES

WHEN CONVERSION PRIVILEGE CEASES	WHEN REDEEMABLE	NOTICE REQUIRED	IF CALLED FOR REDEMPTION CONVERSION MAY BE MADE UP TO	OTHER DETAILS

STOCKS

NAME OF COMPANY
AND KIND OF STOCK

GUARANTEED BY

DIV.
RATE

PAR
VALUE

DIVIDEND DATES

CERTIFICATE IN NAME OF

STOCKS

NAME OF COMPANY
AND KIND OF STOCK

GUARANTEED BY

DIV.
RATEPAR
VALUE

DIVIDEND DATES

CERTIFICATE IN NAME OF

STOCKS

NAME OF COMPANY AND KIND OF STOCK	GUARANTEED BY	DIV RATE	PAR VALUE	DIVIDEND DATES	CERTIFICATE IN NAME OF

STOCKS

NAME OF COMPANY
AND KIND OF STOCK

GUARANTEED BY

DIV
RATE

PAR
VALUE

DIVIDEND DATES

CERTIFICATE IN NAME OF

STOCKS

NAME OF COMPANY
AND KIND OF STOCK

GUARANTEED BY

DIV.
RATE

PAR
VALUE

DIVIDEND DATES

CERTIFICATE IN NAME OF

STOCKS

NAME OF COMPANY
AND KIND OF STOCK

GUARANTEED BY

DIV.
RATE

PAR
VALUE

DIVIDEND DATES

CERTIFICATE IN NAME OF

STOCKS

NAME OF COMPANY AND KIND OF STOCK	GUARANTEED BY	DIV. RATE	PAR VALUE	DIVIDEND DATES	CERTIFICATE IN NAME OF

STOCKS

NAME OF COMPANY AND KIND OF STOCK	GUARANTEED BY	DIV RATE	PAR VALUE	DIVIDEND DATES	CERTIFICATE IN NAME OF

STOCKS

NAME OF COMPANY AND KIND OF STOCK	GUARANTEED BY	DIV RATE	PAR VALUE	DIVIDEND DATES	CERTIFICATE IN NAME OF

STOCKS

NAME OF COMPANY AND KIND OF STOCK	GUARANTEED BY	DIV. RATE	PAR VALUE	DIVIDEND DATES	CERTIFICATE IN NAME OF

STOCKS

NAME OF COMPANY AND KIND OF STOCK	GUARANTEED BY	DIV. RATE	PAR VALUE	DIVIDEND DATES	CERTIFICATE IN NAME OF

NOTES

INCLUDING DISCOUNTED, COUPON, SECURED BY COLLATERAL ETC.

MAKER DR ISSUED BY	RATE	DATED	TIME DR MATURITY	PRINCIPAL PAYABLE AT	INTEREST DUE	INTEREST PAYABLE AT

NOTES

INCLUDING DISCOUNTED, COUPON, SECURED BY COLLATERAL ETC.

DATE BOUGHT	Cost or Discounted at	AMOUNT DISCOUNT	AMOUNT OF INVESTMENT	DENOMIN- ATION	NUMBERS	BOUGHT OF	ENDORSEMENT (S) OR LIST OF COLLATERAL	YIELD

NOTES

INCLUDING DISCOUNTED, COUPON, SECURED BY COLLATERAL ETC.

MAKER OR ISSUED BY	RATE	DATED	TIME OR MATURITY	PRINCIPAL PAYABLE AT	INTEREST DUE	INTEREST PAYABLE AT

NOTES

INCLUDING DISCOUNTED, COUPON, SECURED BY COLLATERAL ETC.

DATE BOUGHT	Cost or Discounted at	AMOUNT DISCOUNT	AMOUNT OF INVESTMENT	DENOMIN- ATION	NUMBERS	BOUGHT OF	ENDORSEMENT (S) OR LIST OF COLLATERAL	YIELD

NOTES

INCLUDING DISCOUNTED, COUPON, SECURED BY COLLATERAL ETC.

MAKER OR ISSUED BY	RATE	DATED	TIME OR MATURITY	PRINCIPAL PAYABLE AT	INTEREST DUE	INTEREST PAYABLE AT

NOTES

INCLUDING DISCOUNTED, COUPON, SECURED BY COLLATERAL ETC.

DATE BOUGHT	Cost or Discounted at	AMOUNT DISCOUNT	AMOUNT OF INVESTMENT	DENOMIN- ATION	NUMBERS	BOUGHT OF	ENDORSEMENT (S) OR LIST OF COLLATERAL	YIELD

NOTES

INCLUDING DISCOUNTED, COUPON, SECURED BY COLLATERAL ETC.

MAKER OR ISSUED BY	RATE	DATED	TIME OR MATURITY	PRINCIPAL PAYABLE AT	INTEREST DUE	INTEREST PAYABLE AT

NOTES

INCLUDING DISCOUNTED, COUPON, SECURED BY COLLATERAL ETC.

DATE BOUGHT	Cost or Discounted at	AMOUNT DISCOUNT	AMOUNT OF INVESTMENT	DENOMIN- ATION	NUMBERS	BOUGHT OF	ENDORSEMENT (S) OR LIST OF COLLATERAL	YIELD

NOTES

INCLUDING DISCOUNTED, COUPON, SECURED BY COLLATERAL ETC.

MAKER OR ISSUED BY	RATE	DATED	TIME OR MATURITY	PRINCIPAL PAYABLE AT	INTEREST DUE	INTEREST PAYABLE AT

NOTES

INCLUDING DISCOUNTED, COUPON, SECURED BY COLLATERAL ETC.

DATE BOUGHT	Cost or Discounted at	AMOUNT DISCOUNT	AMOUNT OF INVESTMENT	DENOMIN- ATION	NUMBERS	BOUGHT OF	ENDORSEMENT (S) OR LIST OF COLLATERAL	YIELD

MORTGAGES

MORTGAGOR	DESCRIPTION	AMOUNT	RATE	DATED	DUE	INTEREST DUE	PAYABLE AT

MORTGAGES

[illegible]

[illegible]

MORTGAGES

MORTGAGOR	DESCRIPTION	AMOUNT	RATE	DATED	DUE	INTEREST DUE	PAYABLE AT

Other details of Insurance entered under Insurance page_____

[illegible]

MORTGAGES

MORTGAGOR	DESCRIPTION	AMOUNT	RATE	DATED	DUE	INTEREST DUE	PAYABLE AT

Other details of Insurance entered under Insurance page_____

[illegible]

MORTGAGES

[illegible]

REAL ESTATE

Insurance entered under
that Division Page.

AMOUNT OF TAXES	TAXES PAYABLE	LEASED TO	AMOUNT OF RENT	LEASE EXPIRES	AMOUNT OF MORTGAGE	MORTGAGE HELD BY	RATE	MORTGAGE DUE

REAL ESTATE

DATE BOUGHT	COST	DESCRIPTION AND LOCATION	AREA	FRONT AND DEPTH IN FEET	ASSESSED VALUE OF LAND	ASSESSED VALUE OF BUILDINGS	TOTAL ASSESSED VALUE

REAL ESTATE

Insurance entered under
that Division Page.....

AMOUNT OF TAXES	TAXES PAYABLE	LEASED TO	AMOUNT OF RENT	LEASE EXPIRES	AMOUNT OF MORTGAGE	MORTGAGE HELD BY	RATE	MORTGAGE DUE

Insurance entered under
that Division Page

[illegible]

REAL ESTATE

DATE BOUGHT	COST	DESCRIPTION AND LOCATION	AREA	FRONT AND DEPTH IN FEET	ASSESSED VALUE OF LAND	ASSESSED VALUE OF BUILDINGS	TOTAL ASSESSED VALUE

Insurance entered under
that Division Page.....

[illegible]

REAL ESTATE

DATE BOUGHT	COST	DESCRIPTION AND LOCATION	AREA	FRONT AND DEPTH IN FEET	ASSESSED VALUE OF LAND	ASSESSED VALUE OF BUILDINGS	TOTAL ASSESSED VALUE

REAL ESTATE

Insurance entered under
that Division Page.

AMOUNT OF TAXES	TAXES PAYABLE	LEASED TO	AMOUNT OF RENT	LEASE EXPIRES	AMOUNT OF MORTGAGE	MORTGAGE HELD BY	RATE	MORTGAGE DUE

SAVINGS BANK, TRUST COMPANY AND CHECK DEPOSITS

NAME OF INSTITUTION	DEPOSIT IN NAME OF	OTHERS AUTHORIZED TO SIGN CHECKS AGAINST ACCOUNT	DEPOSIT BOOK NUMBER	Interest Rate	OTHER DETAILS

SAVINGS BANK, TRUST COMPANY AND CHECK DEPOSITS

NAME OF INSTITUTION	DEPOSIT IN NAME OF	OTHERS AUTHORIZED TO SIGN CHECKS AGAINST ACCOUNT	DEPOSIT BOOK NUMBER	Interest Rate	OTHER DETAILS

SAVINGS BANK, TRUST COMPANY AND CHECK DEPOSITS

NAME OF INSTITUTION	DEPOSIT IN NAME OF	OTHERS AUTHORIZED TO SIGN CHECKS AGAINST ACCOUNT	DEPOSIT BOOK NUMBER	Interest Rate	OTHER DETAILS

MISCELLANEOUS

DESCRIPTION OR NAME	RATE	DUE	OPTIONAL	INTEREST DATES	INTEREST PAYABLE AT	OTHER DETAILS

INSURANCE

(EXCEPT LIFE)

PROPERTY INSURED	KIND OF INSURANCE	NAME OF COMPANY	NAME OF AGENT

INSURANCE

(EXCEPT LIFE)

Other details of Mortgages to which
column below relates entered under
Mortgages on pages at right.

POLICY NUMBER	AMOUNT	RATE	COST OR PREMIUM	DATE INSURANCE BEGINS	DATE INSURANCE EXPIRES	NAME OF MORTGAGOR (IF INSURANCE COVERS MORTGAGED PROPERTY)	ENTERED ON PAGE

INSURANCE

(EXCEPT LIFE)

PROPERTY INSURED**KIND OF
INSURANCE****NAME OF COMPANY****NAME OF AGENT**

PROPERTY INSURED	KIND OF INSURANCE	NAME OF COMPANY	NAME OF AGENT

INSURANCE

(EXCEPT LIFE)

Other details of Mortgages to which
column below relates entered under
Mortgages on pages at right.

POLICY NUMBER	AMOUNT	RATE	COST OR PREMIUM	DATE INSURANCE BEGINS	DATE INSURANCE EXPIRES	NAME OF MORTGAGOR (IF INSURANCE COVERS MORTGAGED PROPERTY)	ENTERED ON PAGE

Policy Number

Amount \$

Policy Matures

Annuity \$

Option Chosen

PREMIUM PAYMENTS AND DIVIDENDS

[illegible]

LIFE INSURANCE

Name of Company

Agent

Policy Number

Payable to

Kind of Insurance

Amount \$

Annual Premium \$

Premium due

Policy Dated

Policy Matures

Settlement Date

Cash Value \$

Paid up Insurance \$

Annuity \$

Installments \$

Extended Insurance (Time)

Option Chosen

PREMIUM PAYMENTS AND DIVIDENDS

[illegible]

LIFE INSURANCE

Name of Company

Agent

Policy Number

Payable to

Kind of Insurance

Amount \$

Annual Premium \$

Premium due

Policy Dated

Policy Matures

Settlement Date

Cash Value \$

Paid up Insurance \$

Annuity \$

Installments \$

Extended Insurance (Time)

Option Chosen

PREMIUM PAYMENTS AND DIVIDENDS

[illegible]

NAME

ENTERED
ON PAGE

JAN.

FEB.

MAR.

APR.

MAY

JUNE

JULY

AUG.

SEPT.

OCT.

NOV.

DEC

[illegible]

INTEREST AND DIVIDEND DATES

(INCLUDING RENTS)

[illegible]

[illegible]

M

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[illegible]

[illegible]

[illegible]

[illegible]



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